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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/822,192 | 03/29/2001 | William G. Moon | Q01-1052 |

QUANTUM CORPORATION
500 MCCARTHY BLVD.
MILPITAS, CA 95035



CONFIRMATION NO. 7305

FORMALITIES LETTER



OC000000006070573

Date Mailed: 05/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

C7/0001 SZEWDIE1 00000095 500283 09822192

FILED UNDER 37 CFR 1.53(b)

130.00 CH
110.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/22/01 09:26:00

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0922192-102801

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No. *Set of #4*
Q01-1052-US1

In Re Application Of: **WILLIAM G. MOON, ET AL.**
JUL 26 2001

Serial No.
09/822,192

Filing Date
MARCH 29, 2001

Examiner
NOT ASSIGNED

Group Art Unit
2652

Invention: **REMOVABLE DISK STORAGE ARRAY EMULATING TAPE LIBRARY HAVING BACKUP AND ARCHIVE CAPABILITY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
05/14/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

RETURN POSTCARD

07/E7/2001 SZEWDIE1 00000095 09822192

01 FC:105
02 FC:115

130.00 CH
110.00 CH

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

**Docket No.
Q01-1052-US1**

In Re Application Of: **WILLIAM G. MOON, ET AL.**

**Serial No.
09/822,192**

**Filing Date
MARCH 29, 2001**

**Examiner
NOT ASSIGNED**

**Group Art Unit
2652**

**Invention: REMOVABLE DISK STORAGE ARRAY EMULATING TAPE LIBRARY HAVING BACKUP AND
ARCHIVE CAPABILITY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$240.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0283**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Dated: **JULY 23, 2001**

**DAVID B. HARRISON, REG. NO. 27,445
QUANTUM CORPORATION
501 SYCAMORE DRIVE
MILPITAS, CA 95035**

**PHONE - 408.944.4466
FAX - 408.944.6581**

I certify that this document and fee is being deposited
on **JULY 23, 2001** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

CHARLOTTE BOWERS

Typed or Printed Name of Person Mailing Correspondence

cc: